

# Helfenstein Asset Management AG

Pilatusstrasse 23, 6003 Luzern, Switzerland · +41 41 211 29 29 · UID CHE-111.708.730 · LEI 894500URZFTDV5G7F357

FINMA Portfolio Manager Authorisation No: CH-111.708.730 | Decision Date: 12.01.2022 · Ongoing Supervision: OSFINcontrol AG | Affiliation Ref: OSFIN-111.708.730 | Since: 12.01.2022

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## Audit and filings information

This note explains how to verify the statutory auditor and commercial-register filings of Helfenstein Asset Management AG. It is not an audit opinion and must not be read as one.

### 1. Auditor

Audited by: Statutory auditor (Revisionsstelle) recorded at the Handelsregisteramt des Kantons Luzern. Audit partner: Named on the current commercial-register extract. The live appointment is the one filed at the Handelsregisteramt des Kantons Luzern and shown on Zefix.

### 2. Latest public filing

2026 filings information. Last SHAB mutation published 7 April 2022 (purpose clause under FINIA). Older extracts named Mertenat Treuhand as auditor (resigned 5 December 2008).

### 3. Next scheduled audit

After the close of financial year 2026

### 4. How to check

Search Zefix for Helfenstein Asset Management AG or UID CHE-111.708.730. Confirm LEI 894500URZFTDV5G7F357 on GLEIF. Confirm the FINMA portfolio-manager list entry (page 31).

Zefix: <https://www.zefix.ch/en/search/entity/list?name=Helfenstein%20Asset%20Management%20AG>